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Practitioner's Docket No. 387953

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: William H. Barber

Application No.: 09/578,631  
Filed: 25 May 2000

Group No.: 3627  
Examiner: John Francis Bartuska

For: DISK DISPENSING AND RETRIEVAL SYSTEM AND ASSOCIATED METHODS [as amended]

Box Fee Amendment  
Assistant Commissioner for Patents  
Washington, D.C. 20231

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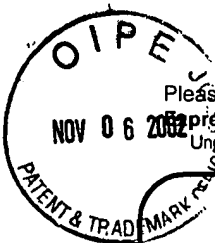
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I hereby state that the following *attached* papers

1. Transmittal – 1 page
2. Fee Transmittal – 1 page + 1 copy
3. Check No. 2772 in the amount of \$630.00
4. Petition for 3 month Extension of Time under 37 CFR 1.136(a) – 1 page
5. Response to Office Action of May 8, 2002 – 8 pages
6. Declaration Under 37 CFR 1.131 of Bill Barber – 4 pages
7. Declaration Under 37 CFR 1.63 – 2 pages
8. Recordation Form Cover Sheet – 2 pages
9. Assignment – 1 page
10. Request for Correction of Inventorship – 2 pages
11. Statement of Inventorship by added inventor Tomasi – 1 page
12. Consent of Assignee – 1 page
13. Certificate of Mailing – 1 page
14. Pre-addressed postcard

are being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date indicated above and is addressed to: Box Fee Amendment, Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Michelle Ford



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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	<b>Application Number</b>	09/578,631	
	<b>Filing Date</b>	25 May 2000	
	<b>First Named Inventor</b>	William H. Barber	
	<b>Group Art Unit</b>	3627	
	<b>Examiner Name</b>	Francis John Bartuska	
<b>Total Number of Pages in This Submission</b>	26	<b>Attorney Docket Number</b>	387953

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form  <input checked="" type="checkbox"/> Fee Attached  <input checked="" type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input checked="" type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Declaration under 37 CFR 1.131 Declaration under 37 CFR 1.63 Request to Correct Inventorship Assignee Consent to Correction Statement by Inventor Tomasi Certificate of Mailing Return Postcard
<b>Remarks</b>		

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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

<b>Firm or Individual name</b>	Curtis A. Vock
<b>Signature</b>	<i>Curtis A. Vock</i>
<b>Date</b>	11-6-02

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 6 November 2002			
<b>Typed or printed name</b>	Michelle Ford		
<b>Signature</b>	<i>Michelle Ford</i>	<b>Date</b>	11/6/02

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**FREE TRANSMITTAL**  
**for FY 2002**

NOV 06 2002

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT (\$)** 630**Complete if Known**

Application Number 09/578,631

Filing Date 25 May 2000

First Named Inventor William H. Barber

Examiner Name Francis John Bartuska

Group / Art Unit 3627

Attorney Docket No. 387953

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GROUP 3600

**METHOD OF PAYMENT (check one)**

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

12-0600

Deposit  
Account  
Name

Lathrop &amp; Gage L.C.

- ☒
- Charge Any Additional Fee Required
- 
- Under 37 CFR 1.16 and 1.17
- 
- ☒
- Applicant claims small entity status.
- 
- See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

- ☒
- Check
- ☐
- Credit card
- ☐
- Money
- 
- Order
- ☐
- Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

**SUBTOTAL (1)**

(\$) 0

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
20 **	0	0	0
Independent Claims	Extra Claims	Fee from below	Fee Paid
3 **	0	0	0
Multiple Dependent	Extra Claims	Fee from below	Fee Paid
	0	0	0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)**

(\$) 0

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	460
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	130
123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

(\$) 630

**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	Curtis A. Vock	Registration No. Attorney/Agent)	38,356	Telephone	720-931-3000
Signature	Curtis A. Vock	Date	11-6-02		

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